

This brochure supplement provides information about Erin L. Mutton that supplements the Pathway Financial Advisers, LLC brochure. You should have received a copy of that brochure. Please contact Erin L. Mutton if you did not receive Pathway Financial Advisers, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Erin L. Mutton is also available on the SEC's website at www.adviserinfo.sec.gov.

Pathway Financial Advisers, LLC
Form ADV Part 2B – Individual Disclosure Brochure
for

Erin L. Mutton
Personal CRD Number: #5179606
Investment Adviser Representative

Pathway Financial Advisers, LLC
4571 County Road 35
Auburn, IN 46706
(260) 925-2887
erin@pathwayria.com

UPDATED: March 2024

Item 2: Educational Background and Business Experience

Name: Erin L. Mutton **Born:** 1985

Educational Background and Professional Designations:

Education:

2007- Bachelor of Arts, Business Management, with honors- Taylor University,
Upland, IN

2007- Minor, Finance, Taylor University, Upland, IN

Designations:

IACCP®- Investment Adviser Certified Compliance Professional

- The **IACCP®** designation acknowledges the heightened role of compliance and responds to growing industry demands for advanced compliance education and nationally recognized professional standards. The IACCP® program provides foundation knowledge associated with job responsibilities and functions of investment adviser compliance professionals, such as a grounding in the rules and regulations required for investment advisers, guidance for building a strong compliance program, and highlights of the best practices that can immediately be implemented at firms.
- In order to attain and maintain the IACCP® designation, one must: Complete 15 required compliance courses; Complete 5 electives; Have two years of work experience; Submit the ethics commitment and assessment; and pass the certifying examination.

Business Background:

11/2023 - Present	Investment Adviser Representative Pathway Financial Advisers, LLC
07/2016 - 11/2023	Compliance Officer, Investment Advisor Representative, Registered Representative Larson Financial Securities, LLC
09/2012 - 07/2016	Office Manager Raymond James Financial Services, Inc.

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Erin L. Mutton does not have any outside business activities to report.

Item 5: Additional Compensation

Other than salary, Erin L. Mutton does not receive any economic benefit from any person, company or organization, in exchange for providing clients advisory services through Pathway Financial Advisers, LLC.

Item 6: Supervision

As a representative of Pathway Financial Advisers, LLC, Erin Mutton works closely with supervisor David R. Tracey, the firm's Chief Compliance Officer, and all advice provided to clients is reviewed by this supervisor prior to implementation. David R. Tracey can be reached at (260) 925-2887. Erin Mutton adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.